

Rec. #2016-09-03: UNIVERSITY SENATE RECOMMENDATION TO THE PROVOST  
The University Senate recommends that the Provost seek continued approval of the Faculty Credentials Policy (Policy 1.1112).



## POLICY & PROCEDURE DOCUMENT

NUMBER: 1.1114<sup>2</sup>

DIVISION: Academic Affairs

TITLE: Evaluation of Faculty Credentials

DATE: March 25, 2013

REVISED: January 5, 2015, May 16, 2016

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### I. Purpose and Scope

SACSCOC requires that all teaching faculty hold academic credentials appropriate to the courses that they teach (see sample Faculty Roster and Guidelines in Appendix B). The SACSCOC guidelines refer to “terminal degree in a related field” and to “graduate hours in a related discipline.” They also make reference to the presence of an academic transcript. WKU recognizes (1) that the field of the terminal degree may not be identical to the prefix of the course being taught, and (2) that not all faculty credentials have US-style transcripts in support of them. This policy describes types of credentials required, degree field documentation, and evaluation of international degrees.

### II. Policy

#### A. Type of Credential

1. Graduate faculty status, as recommended by the department head or program director/coordinator ~~the Graduate Council~~ and approved by the College Dean and subsequently the Graduate Dean, is required for teaching graduate and post-baccalaureate coursework, including 400G, ~~500, 600, and 700~~ or higher level courses. The status must be valid for the entire semester/term in which the course is taught.
2. Faculty teaching courses leading to an associate’s degree ~~courses~~-designed for transfer, or baccalaureate courses (including general education courses) must have either
  - i. a doctoral or master’s degree in the teaching discipline or at least a master’s degree with a concentration in the teaching or related discipline, or
  - ii. a doctorate or master’s degree in any discipline, plus 18 hours of graduate coursework (that may or may not be part of a degree) in the discipline or a related discipline (see III.A.1.ii); or

- iii. other equivalent professional qualification, as documented on the web form (see III.A.1.iii).
3. Faculty teaching developmental courses must have a baccalaureate degree in the discipline or a related discipline.

**NOTE:** If the instructor of record is a Graduate Assistant Instructor, the credential requirements are documented in Policy 1.113V.

#### B. Field in which the Credential is Held

1. The faculty representing the program that offers courses with a given prefix are responsible for determining the fields in which a terminal degree is held, or in which graduate hours have been completed, that are appropriate for teaching a course with that prefix.

#### C. Evaluation of International Credentials

1. As part of the initial appointment of faculty with credentials that do not conform to the US standard (particularly those that do not have a transcript in support of them), a statement by a faculty member representing the pertinent academic program (usually the **academic program coordinator – see Policy 1.505V**) must be provided that certifies that the degree in question has standards similar to those of an accredited institution in the US. (See sample wording in Appendix B.) This certification must be approved by the department head, dean and the Office of Academic Affairs prior to an offer being extended to a candidate.

### III. Procedure

#### A. Types of Credentials

1. One of the following three types of credentials is required for a faculty member (full or part-time) to be instructor of record for a course. Justification and documentation of qualifications for faculty is required for each class. The credential documentation listed below is housed in the Office of the Provost.
  - i. Official Transcript for the terminal degree and additional degree(s) justifying the hire or course assignment(s) are required for all faculty. The transcript(s) are a part of the faculty member's personnel file maintained in the Office of the Provost as stated in Policy 1.106V.
  - ii. If the doctorate or master's degree in any discipline, plus 18 hours of graduate coursework in the discipline or a related discipline is utilized to justify the assignment, an "18 Hours in Graduate Coursework" web form must be completed to document the 18 hours in the discipline or related discipline.
  - iii. If neither of the above justifies the assignment, then the Equivalent Professional Qualifications web form is required to document the faculty member's qualifications for each course needing additional qualification information. Types of information to include on this web form are described in the Faculty Roster Instructions of Appendix C.
2. In addition to the above credentialing requirements, the following is essential to be the instructor of record for certain types of classes; such as graduate, doctoral, and/or interdisciplinary courses. This information is also housed in the Office of the Provost.

- i. Graduate faculty status, as recommended by the ~~Graduate Council~~ the department head or program director/coordinator the Graduate Council and approved by the College Dean and subsequently the Graduate Dean, is required for teaching graduate and post-baccalaureate coursework, including 400G, 500, 600, and 700 or higher level courses. The status must be valid for the entire semester/term in which the course is taught.
- ii. Doctoral Faculty approval (all 700 and above level courses and or committee, dissertation assignment) is required by the individual doctoral program coordinator.
- iii. For interdisciplinary courses for which there are no clearly related academic credentialing discipline, the evaluation of credentials is completed by a faculty advisory committee. For example, the Honors Development Board approves faculty credentials to teach Honors Colloquium courses. Other examples of interdisciplinary courses that might require advisory committee approval are; Leadership Studies, Pop Culture, ICSR, etc. Typically this credentialing will be documented with a memo or the Equivalent Professional Qualifications web form.

**B. Field in which the Credential is Held**

1. The faculty of the home department that offers courses with a given prefix may determine a list of fields in which degrees are held and/or graduate credit has been earned in order to be the instructor of record for courses with that prefix. (See sample letter in Appendix A.) This list of fields should be submitted by a representative of the program faculty (usually the academic program coordinator – see Policy 1.505V) to the Department Head, Dean and the Office of Academic Affairs for approval, and should be included as an appendix to the College's Tenure & Promotion Guidelines.
2. If an individual with academic credentials not covered in the above list is considered for appointment to an instructional position, the department faculty should determine (a) whether to update the list and reflect the new academic credential, (b) that the appointment can be made, and an exception noted in the individual's file, or (c) that the appointment cannot be made.

**C. Faculty Roster**

1. The WKU Faculty Roster form is utilized to capture all the above information, to ensure compliance with SACSCOC and WKU policies. Electronic Audits will run after scheduling ends each semester to determine missing information. The audit will be forwarded to the Department Head and College Dean, with instructions to provide additional information or the course assignment can be revised (See Appendix C for Roster Instructions, Sample Roster, and Blank Roster).

#### **IV. Related Policies:**

1.106V Individual Faculty Review of His/Her Personnel File

1.112V Graduate Assistant Instructor Policy

#### **V. Reason for Revision**

October 2014

Miscellaneous redundancies and conflicting statements removed

May 2016

Change faculty status recommendation/approval process to include the department heads or program director/coordinator and college deans while removing the Graduate Council. All references to graduate and post-baccalaureate course numbers changed to "400G or higher"

Draft for Discussion and Deliberation

**APPENDIX A – SAMPLE DEGREE FIELD FOR DISCIPLINE MEMO**

Degree Field in Which the Credential is Held (see III.A.1)

**TO:** \_\_\_\_\_ Department Head

**FROM:** Program Faculty in Discipline

**DATE:**

**SUBJECT:** Degree Field Approvals

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We, the program faculty in discipline, have determined that individuals with terminal degrees and/or master's degree in the teaching discipline, as appropriate, in the following fields:

**list of degree fields**

are considered, subject to university policy on level of credential required to act as instructor of record, credentialed to teach courses with the following prefix(es):

**list of course prefixes**

The following additional criteria and/or exceptions apply:

**List of pertinent requirements (e.g., licensure, familiarization session....) and/or exceptions (e.g., XYZ 456 may be taught only by individuals with a documented scholarship/experience in .....**

Approvals:

_____	Dept. Head	_____	Date
_____	Dean	_____	Date
_____	Provost	_____	Date

**APPENDIX B – SAMPLE FOREIGN CREDENTIAL MEMO**

*Foreign Credentials (see III.B.1)*

**TO:** \_\_\_\_\_ Department Head

**FROM:** Program Faculty Member/Coordinator in Discipline

**DATE:**

**SUBJECT:** Foreign Credential for \_\_\_\_\_(WKU ID#\_\_\_\_\_)

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On behalf of the program faculty in discipline, I have reviewed the documentation of the degree level in discipline from foreign institution name submitted by faculty candidate's name. I have determined that this credential is reliable and that it is equivalent to a degree level in discipline from an accredited US institution.

Signed

Program Faculty Member

(List credentials in discipline and other credentials (e.g. foreign language skills) pertinent to this credential review)

Attachments as appropriate (e.g., notarized translation of foreign transcript/diploma, certification from recognized credentialing agency)

Approvals:

_____	Dept. Head	_____	Date
_____	Dean	_____	Date
_____	Provost	_____	Date

## **APPENDIX C – WKU Faculty Roster Instructions, Sample, and Blank Form**

### **WKU General Instructions for Completing the Faculty Roster Form**

1. These instructions apply to the use of the Faculty Roster Form for all institutions responding to Comprehensive Standard 3.7.1 (Faculty Competence) or as requested in relation to substantive change.
2. Information requested on the form should be provided for all full-time and part-time faculty teaching credit or developmental/remedial courses. Teaching assistants should be included only if they are the instructor of record.
3. A form is completed for each full and part-time faculty member. All instructional assignments should be included for the semesters requested; even if outside the faculty member primary department area.
4. Since WKU is a Track B institution (offering graduate degrees) we are required to submit rosters for fall and spring term of the previous academic year.

### **Providing Information That Establishes Qualifications (SACS Guidelines)**

1. Institutions completing the Faculty Roster Form should review Comprehensive Standard 3.7.1 and the Commission guidelines on “Faculty Credentials,” which can be found on the Commission website under the Policies and Publications link. The guidelines represent commonly-accepted good practice for the academic qualifications of faculty; however, the Commission recognizes that qualifications other than academic credentials (or combined with credentials) may be appropriate for teaching particular courses.
2. The Commission usually accepts common collegiate practice in recognizing an academic discipline, concentration, and/or field of study. Examples include history, mathematics, chemistry, English, sociology, finance, accounting, marketing, and management. For faculty teaching in these areas, it is expected that the institution will provide information that justifies and documents each faculty member’s qualifications relevant to the specific courses they are assigned to teach. For faculty teaching interdisciplinary courses, it is expected that the institution will provide information that justifies and documents the faculty member’s qualifications relevant to the disciplines that are components of the course.
3. When completing the Faculty Roster Form, it may become obvious that only one of the faculty member’s degrees need be cited in order to justify his/her qualifications to teach a specific course. In that case, cite only that one degree. In other cases, it will be necessary to list two or more degrees and to list the specific course titles and number of semester hours in those degrees relevant to the courses assigned. It may also be necessary to indicate additional qualifications such as diplomas or certificates earned (with discipline indicated); related work or professional experience; licensure and certifications; continuous documented excellence in teaching; honors and awards; scholarly publications and presented papers; and other demonstrated competencies and achievements that contribute to effective teaching and student learning outcomes. Indicate the dates for these additional qualifications and clearly describe the relationship between these qualifications and the course content and/or expected outcomes of the courses assigned to the faculty member.
4. Institutions are expected to maintain appropriate justification and documentation in the files of all faculty that establish qualifications, including those listed in columns three and four of the Faculty Roster Form. These should be readily available for the consideration of On-Site Evaluators.



## **Explanation of the WKU Faculty Roster Information for each Column**

The Faculty Roster information is organized by individual faculty member in the areas:

**Introduction:** The name, academic primary department, and full (F) or part-time (PT) status will be pre-populated from Banner. The current curriculum vita (CV) will be pulled from Digital Measures and uploaded into the Faculty Roster Form.

**Column One.** Term (semester and year) associated with that individual course assignment will be pre-populated from Banner.

**Column Two.** Course information including: course prefix, number, title, and credit hours of all credit courses (including developmental/remedial courses) taught by term during the requested time period; even if outside the faculty member primary department area. The course information is pulled directly from Banner and will be identified as; developmental (D), undergraduate transferable (UT) or graduate (G). The current course catalog description and syllabus in TopNet will be provided as a link.

**Column Three.** Listed are the earned academic degrees, diplomas, and certificates that qualify the instructor to teach each listed course. Information will include the degree, major, year of award, and conferring institution. If the major included a concentration (track, specialization, or option), that should also be included. The degree information will be uploaded from the electronic faculty file; including terminal degree and other degrees as documented on the Degree Field memo approved by the Department Head, Dean, and AA. The degree listed will be a hyperlink to the corresponding official transcript.

If the credentials are based on a doctoral or master's degree in any discipline, plus 18 hours of graduate course work in the discipline or a related discipline, details on the 18 hours of coursework should be entered into an "18 hours in Graduate Coursework web form," approved by the Department/School Head, College Dean, and forwarded to AA. Information from this approved web form will be attached to the Faculty Roster Form.

**Column Four.** Per Policy 1.1110 approved memos from the department head regarding 1) Degree fields pertinent to the discipline and/or the 2) Foreign Transcript Evaluation are utilized to document faculty credentials. These memos will be pre-populated from Banner. Courses taught at the Graduate, and/or Doctoral level, or Interdisciplinary courses require appropriate documentation to be included in this column, and the approval bodies are documented below. The Equivalent Professional Qualification web form is utilized to justify a course assignment not justified by academic degree credentials or 18 hours of graduate coursework in the discipline or a related discipline (details in 4 below).

Types of information included in this column are:

- 1) Graduate Faculty Approval (all 4xxG, 500 – 600 level courses), provided by Graduate Studies
- 2) Doctoral Faculty Approval (all 700 and above level courses and/or committee, dissertation assignments), provided by the individual doctoral program coordinator.
- 3) Interdisciplinary Course Approval from a faculty advisory committee on the Equivalent Professional Qualifications web form or a memo; such as, the Honors Developmental Committee approves Honors Colloquium courses. Other examples of courses that are interdisciplinary and require committee approval are; Leadership Studies, ICSR, Pop Culture, etc.

- 4) An Equivalent Professional Qualification web form is necessary to establish adequate qualifications of faculty for a course assignment not justified by academic degree credentials or on the 18 hours in Graduate Coursework web form. Additional qualifications such as;
- a) related work or professional experience, licensure and certifications;
  - b) continuous documented excellence in teaching;
  - c) honors and awards;
  - d) scholarly publications and presented papers;
  - e) demonstrated competencies and achievements that contribute to effective teaching and student learning outcomes.

Indicate the **dates**, if applicable, for these additional qualifications and clearly describe the relationship between these qualifications and the course content and/or expected outcomes of the courses assigned to the faculty member. The description should be concise, but convincing to an external reviewer. **Supporting documentation** should be attached to the form; such as the actual certificate, award, or licensure.

Draft for Discussion and Deliberation

## SAMPLE WKU Faculty Roster Form

Faculty Name: **Emslie, Gordon (F)**

Current Vita ([hyperlink](#))

Name of Primary Department: **Physics & Astronomy**

Academic Term(s) Included: **Fall 2013, Spring 2014**

Date Form Completed:

1	2	3	4
Term (Semester and Year)	COURSES TAUGHT Including Course Prefix, Number, Title, Credit Hours (D, UT, G), Catalog Description & Syllabus	ACADEMIC DEGREES & COURSEWORK relevant to course assignment, including; <b>degree, major, conferring institution, and year of award.</b>  18 hours of graduate coursework web form, if needed	OTHER QUALIFICATIONS & COMMENTS related to courses assignment, including links to documentation, if appropriate: <b>Degree Field for Discipline Equivalent Professional Qualifications Graduate Faculty Appointment(s) Foreign Transcript Evaluation Committee Approval pertinent to course (e.g. Honors, Leadership, ICSR, etc.)</b>
Fall 2013	ASTR 104 Astronomy/Solar System (3) UT <a href="#">Syllabus</a> and <a href="#">Catalog</a>	<a href="#">Ph.D. in Astronomy, University of Glasgow, 1979</a>	<a href="#">Foreign Transcript Evaluation</a>
Fall 2013	PHYS 332 Phys/Biophysics II (3) UT <a href="#">Syllabus</a> and <a href="#">Catalog</a>	<a href="#">Ph.D. in Astronomy, University of Glasgow, 1979</a>	<a href="#">Foreign Transcript Evaluation</a> <a href="#">Degree Field for Discipline Approval</a>
Spring 2014	EE 101 Electrical Engineering Design 1 (1) UT <a href="#">Syllabus</a> and <a href="#">Catalog</a>	<a href="#">MSE in Mechanical Engineering, 1991</a> The University of Alabama in Huntsville <a href="#">Graduate Level Electrical Engineering Courses (18)</a>	<a href="#">MSE Transcript (Relevant Courses Highlighted)</a>
Spring 2014	HON 301 UT <a href="#">Syllabus</a> and <a href="#">Catalog</a>	<a href="#">Ph.D. in Astronomy, University of Glasgow, 1979</a>	<a href="#">Foreign Transcript Evaluation</a> <a href="#">Honors Colloquium Committee Approval</a>
Spring 2014	EDLD 799 (3) G <a href="#">Syllabus</a> and <a href="#">Catalog</a>	<a href="#">Ph.D. in Astronomy, University of Glasgow, 1979</a>	<a href="#">Foreign Transcript Evaluation</a> <a href="#">Graduate Faculty Appointment</a> <a href="#">Educational Leadership Doctoral Faculty Approval</a>

**Faculty either:** Full-time (F) or Part-time (P); **Course Designation:** Developmental (D), Undergraduate Transferable (UT), Graduate (G)

**NOTE:** underlined information in table indicates a hyperlink will be active.

**BLANK WKU Faculty Roster Form  
Faculty Credentials (Full-Time and Part-Time)**

Faculty Name (F,P):

Current Vita (hyperlink)

Name of Primary Department:

Academic Term(s) Included:

Date Form Completed:

1	2	3	4
<b>Term (Semester &amp; Year)</b>	<b>COURSES TAUGHT</b> Including; Course Prefix, Number, Title, Credit Hours, (D, UT, G), Catalog Description and Syllabus	<b>ACADEMIC DEGREES &amp; COURSEWORK</b> relevant to course assignment, including; <b>degree, major, conferring institution, and year of award.</b>  <b>18 hours of graduate coursework web form, if needed</b>	<b>OTHER QUALIFICATIONS &amp; COMMENTS</b> related to courses assignment, including links to documentation, if appropriate: <b>Degree Field for Discipline</b> <b>Equivalent Professional Qualifications</b> <b>Graduate Faculty Appointment(s)</b> <b>Foreign Transcript Evaluation</b> <b>Committee Approval pertinent to course (e.g. Honors, Leadership, ICSR, etc.)</b>

**Faculty either:** Full-time (F) or Part-time (P); **Course Designation:** Developmental (D), Undergraduate Transferable (UT), Graduate (G)